PTO/SB/17 (05-03)
Approved for use through 04/03/2003. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
he Paperwork Reduction Act of 1995,no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Complete if Known FEE TRANSMITTAL Application Number 09/544,776 Filing Date April 7, 2000 for FY 2003 First Named Inventor Dong Wei Effective 01/01/2003. Patent fees are subject to annual revision. **Examiner Name** Jane Zara Applicant claims small entity status. See 37 CFR 1.27 1635 Art Unit TOTAL AMOUNT OF PAYMENT | (\$) 59516-219 / PP-01561.003

Attorney Docket No.

					tuorney D		. ,						
METHOD OF PAYMENT (check all that apply)							FEE CALCULATION (continued)						
Check Credit card Money Order None							3. <u>ADDI</u> Large I		FEES Sm	nall			
Deposit Account:							Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
Deposi Accour		04-0	258				1051	130	2051	65	Surcharge - late filing fee or oath		
Number							1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.		
Deposit Account Davis Wright Tremaine LLP								130	1053	130	Non-English specification		
Name								2,520	1812	2,520	For filing a request for ex parte reexamination		
The Commissioner is authorized to: (check all that apply)  Charge fee(s) indicated below  Credit any overpayments								920*	1804	920*	Requesting publication of SIR prior to Examiner action		
Charge any additional fee(s) during the pendency of this application								1,840*	1805	1,840*	Requesting publication of SIR after Examiner action		
Charge fee(s) indicated below, except for the filing fee							1251	110	2251	55	Extension for reply within first month		
Charge any deficiencies							1252	410	2252	205	Extension for reply within second month		
to the above-identified deposit account.							1253	930	2253	465	Extension for reply within third month		
FEE CALCULATION							1254	1,450	2254	725	Extension for reply within fourth month		
1. BASIC F							1255	1,970	2255	985	Extension for reply within fifth month	1970	
Large Entity		mall Ent	ity				1401	320	2401	160	Notice of Appeal	ļ	
Fee Code Fee(		Fee Code	Fee(\$)	Fee Descript	ion	Fee Paid	1402	320	2402	160	Filing a brief in support of an appeal		
	,	2001	375	Utility filing fe			1403	280	2403	140	Request for oral hearing	·	
1002 33	30 :	2002 2003	165 260	Design filing	fee		1451	1,510	1451	1,510	Petition to institute a public use proceeding		
		2003 2004	260 375	Plant filing fe Reissue filing			1452	110	2452	55	Petition to revive – unavoidable		
1	'	2005	80	Provisional fil			1453	1,300	2453	650	Petition to revive – unintentional	1300	
				fee	9		1501	1,300	2501	650	Utility issue fee (or reissue)		
				SUBTOTAL	(1)	(\$) 0	1502 1503	470	2502	235	Design issue fee		
								630	2503	315	Plant issue fee	ļ	
2. EXTRA CLAIM FEES Fee								130	1460	130	Petitions to the Commissioner		
				Extra Claims	from below	Fee Paid	1807	√50	1807	50	Petitions related to provisional applications Submission of Information Disclosure		
Total Claims			- 20** =	Ciairis x	Delow	=   Faiu	1806	180	1806	180	Stmt Recording each patent assignment		
Independent		$\dashv$	- 3** =	×		 	8021	40	8021	40	per property (times number of properties)		
Claims Multiple			<b>~</b> -	^		]	1809	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))		
Dependent  Large Entity Small Entity							1810	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))		
Fee F	.y =ee   (\$)	Fee Code	Foo /\$	) Fee Desci	iption		1801	750	2801	375	Request for Continued Examination (RCE)	750	
1202	18	220		Claims in e	excess of 2	0	1802	900	1802	900	Request for expedited examination of a design application		
1201	84	220	1 42			n excess of 3	Other fee	(specify	)		resign application	<b>——</b>	
1203	280	220	3 140			aim, if not paid	3	(30001)	<i>,</i>		*		
1204	84	onginal patent						*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 4020					
1205 18 2205 9 ** Reissue claims in excess of 20 and over original patent											,		
	SHRT	OTAL (2)	(\$)0	·									
**or number previously paid, if greater; For Reissues, see above													
	SUBMITTED BY												
Name (Brint/T	Name (Print/Tyme) Jane F. R. Potter												
Name (Print/Type) Jane E. R. Potter								1441	22	222			

Attorney/Agent) 27476 PATENT TRADEMARK OFFICE Date July 29, 2003 Signature

> WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



**PATENT** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

AUG 0 4 2003

**Applicant** 

Dong Wei et al.

OFFICE OF PETITIONS

Application No.

09/544,776

Filed

April 7, 2000

For

NOVEL PROTEIN ASSOCIATED WITH CELL STRESS

**RESPONSE** 

Examiner

Jane Zara

Art Unit

1635

Docket No.

59516-219 / PP-01561.003

Date

July 29, 2003

Attention: Office of Petitions Mail Stop Petitions

Commissioner for Patents

PO Box 1450

Arlington, VA 22313-1450

## **STATEMENT**

On March 21, 2002, applicants filed a Notice of Appeal, received in the PTO on March 29, 2002, thereby setting the first deadline for an Appeal Brief as May 29, 2002. The final due date for an Appeal Brief, with extensions of time, was October 29, 2002. On May 17, 2002 applicants filed a Second Response after final. An Advisory Action was issued on June 11, 2002. Despite the belief by applicants that the Response filed on May 17, 2002 resolved the remaining issues, the Advisory Action indicated that the amendment was not entered as constituting new matter. Applicants investigated the issue raised in the Advisory Action and conducted telephone conferences with the Examiner.

In an effort to gain allowance of the application by the final deadline of October 29, 2002, applicants worked with the Examiner to resolve issues of claim language, and on October 24, 2002, the Examiner apparently sent a facsimile Examiner's Amendment to applicants, but it was not received, and it was re-sent on October 31, 2002. At that time the case had become technically abandoned.

On November 5, 2002, applicants conducted a detailed telephone interview with

the Examiner, and discussed the PTO policy relating to percent hybridization language in nucleic acid claims, as well as a related Federal Regulation Notice governing these issues. In the intervening time, applicants have been considering the options for proceeding with this case so as to avoid the previous enablement issues under 35 U.S.C. § 112 as raised by the Examiner and as further discussed with her. Applicants have also investigated the Federal Regulation guidelines regarding the policy discussed by the Examiner.

PATENT TRADEMARK OFFICE

Respectfully submitted,

Dong Wei et al.

Davis Wright Tremaine LLP

Jane E. R. Potter

Registration No. 33,332

2600 Century Square 1501 Fourth Avenue Seattle, WA 98101-1688

Phone: (206) 622-7650 Fax: (206) 628-7699